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#BT
Docket No. CF/034

5-15-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew C. Gilbert et al.

Application No.: 10/047,607 Confirmation No.: 5741

Filed : January 14, 2002

For : SYSTEMS AND METHODS FOR ELECTRONIC
TRADING THAT PERMIT PRINCIPAL/BROKER
TRADING

Group Art Unit : 2164

RECEIVED

MAY 02 2003

Examiner : Not Yet Assigned

GROUP 3600

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

New York, NY 10020
April 24, 2003

Sir:

Transmitted herewith is an Information
Disclosure Statement in the above-identified application.
This Statement is submitted:

- within three months of the application filing date;
- more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account

NO. 06-1075. A duplicate copy of this letter is
transmitted herewith.

Respectfully submitted,

Denise Bergin
Denise Bergin
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I hereby certify that this
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Apr. 124, 2003

Lillian Garcia

Lillian Garcia
Signature of Person Signing